INDIAN INSTITUTE OF BANKING & FINANCE
Professional Development Centre – Eastern Zone (PDC-EZ), Kolkata

VIRTUAL TRAINING PROGRAMME (02 DAYS)

RISK BASED INTERNAL AUDIT - RBIA

14th-15th DECEMBER 2026 (Monday-Tuesday)





IIBF has emerged as a premier institute on banking and finance education. It is the largest institute of its kind in the world and is working with a Mission to "develop professionally qualified & competent bankers and finance professionals primarily through a process of education, training, examination, consultancy/ counselling and continuing professional development programmes". Indian Institute of Banking & Finance has been awarded the ISO 21001:2018 Standard. This standard is for Educational Institutes who have achieved the required level of excellence in their academic offerings. IIBF is among the few institutes to have been conferred upon this standard.

For Nomination, Please Contact:

Mr. Tusharendra Barpanda **Zonal Head, PDC-EZ, IIBF** **Email : head-pdcez@iibf.org.in**	**Ms. Samriddhi Guha** **Jr. Executive, PDC-EZ, IIBF** **Email: je.pdcez2@iibf.org.in**	**Ms. Sneha Datta** **Jr. Executive, PDC-EZ, IIBF** **Email : je.pdcez3@iibf.org.in**
In case of any query please contact : 033 3539 2660, 6290336252, 9903848920, 9717005551		

BACKGROUND OF THE PROGRAMME: An independent and effective internal audit function in a financial entity provides vital assurance to the Board and its senior management regarding the quality and effectiveness of the entity's internal control, risk management and governance framework. The essential requirements for a robust internal audit function include, inter alia, sufficient authority, proper stature, independence, adequate resources and professional competence. The range and commonality of risks faced by Supervised Entities (SEs) would warrant effective and harmonized systems and processes for the internal audit function across the SEs based on certain common guiding principles. The introduction of Risk-Based Internal Audit (RBIA) system was mandated for all Scheduled

Commercial Banks (except Regional Rural Banks) which has now been decided to mandate RBIA framework for the following Non-Banking Financial Companies (NBFCs) and Primary (Urban) Co-operative Banks (UCBs):All deposit taking NBFCs, irrespective of their size; All Non-deposit taking NBFCs (including Core Investment Companies) with asset size of ₹5,000 crore and above; and All UCBs having asset size of ₹500 crore and above.

OBJECTIVES:

- ✓ To Improve the knowledge and skill of the Audit Officers
- ✓ To have comprehensive understanding of the audit system, procedures and practices to protect the interest of the bank.
- ✓ To support in developing a cadre of professional internal auditor, they being the officials giving warning signal in advance and identifying the risks.

CONTENT OVERVIEW:

- Relevance of Audit – Audit History, Traditional vs Risk based audit - Audit policy.
- Risk Based Internal Audit, Risk Based Credit Audit and Risk based supervisions - with features & application
- Auditing the branches under the CBS environment. Auditing different department.
- Risk and compliance covering Operational Risk Management (ORM) and Credit Risk Management (CRM)
- Practical insights into different issues – capturing the signals, supporting the monitoring and risk management.
- Auditing fund based & non-fund-based credit facilities and forex business
- Role of Auditor in KYC/AML compliance.
- Audit as a tool for prevention of frauds.
- IT Governance and GRC- Audit automation.

TARGET GROUP FOR THE PROGRAMME: Officials working in the Internal Audit/ Inspection Department at Central/Circle/Zonal/Regional level audit offices and Concurrent Auditors working in branches. Applicable for PSU Banks/ Private Banks/Cooperative Banks/SFBs/RRBs/Foreign Banks/NBFCs. Open to both Members & Non-Members of IIBF/Individuals may also register for this programme at their own cost/organizations may send their nominations in bulk.

DATE & TIME:	**METHODOLOGY:**	**FEE:**
14th-15th December 2026(10.00AM to 5.30PM)	Virtual (Online) interactive lectures, Case Studies, presentations and sharing of experiences through IIBF's Zoom-based Learning Management System (LMS). Programme can be attended from anywhere by using devices with Internet like PC, Laptop, Tablet or Mobile. Internet connection with good speed is required to stream live Virtual sessions.	Rs.5000/- per participant plus GST@18% /- aggregating to Rs.5900/-

• **REGISTRATION START DATE: 16.11.26**	• **TRAINING START DATE: 14.12.26**
• **REGISTRATION END DATE: 10.12.26**	• **TRAINING END DATE: 15.12.26**

CORRESPONDENCE ADDRESS: Indian Institute of Banking & Finance, PDC-EZ, Avani Heights, 2nd Floor, 59A, Jawaharlal Nehru Road, Near Ravindra Sadan Metro Station, Kolkata – 700020.

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Committed to professional excellence

INDIAN INSTITUTE OF BANKING & FINANCE
Professional Development Centre – Eastern Zone, Kolkata
NOMINATION FORM

Programme Title: RISK BASED INTERNAL AUDIT - RBIA

Mode of Programme: Virtual Mode Date: 14th-15th December 2026

Details of Nomination (to be filled by the Banks/FIs/Other Organizations):

Sl. No.	Name of Participant	Designation	Branch/ Office	Contact No.	E-mail Id.
1					
2					
3					
4					
Add additional rows as required					

Fees Paid Rs._____UTR/Transaction No._____Date of Payment: _____
Name of Sponsoring Bank / FI:_____ **GSTN of Bank/FI:** _____
Address of the Bank/FI:_____
Phone/Mob. No._____E-mail id.: _____

FEE: Rs.5000/- per participant plus GST@18% aggregating to Rs.5900/- (In case of TDS deduction, please send us the TDS certificate. Kindly provide your GST Number in the nomination letter to facilitate the raising of Invoice). **Programme fees may be remitted to the credit of Institute's Bank Account as below**:

BANK ACCOUNT DETAILS
Account Name: Indian Institute of Banking & Finance
Account Number (VAN): IIBF28TRGEASTZONE
IFSC: SBIN0004266
BANK: STATE BANK OF INDIA
GST No. 19AAATT3309D2ZO (Please note that last character is letter O)
PAN No. AAATT3309D

CONTACT DETAILS:

Mr Tusharendra Barpanda Head – PDC-EZ, IIBF, Kolkata Email Id. head-pdcez@iibf.org.in	Ms Sneha Datta Jr. Executive, PDC-EZ, IIBF Email : je.pdcez3@iibf.org.in	Ms. Samriddhi Guha Jr. Executive, PDC-EZ, IIBF Email:je.pdcez2@iibf.org.in

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NOMINATION FORM FOR SELF-SPONSORED CANDIDATES

Programme Title: RISK BASED INTERNAL AUDIT - RBIA

Mode of Programme: Virtual Mode Date: 14th-15th December 2026

Sl. No	Name (Mr/Mrs/Ms)	Designation	Contact No. (Mobile)	E-mail (PERSONAL)	UTR NUMBER
1					

Name of Bank/ FI employed with: _____

Address of Bank/ FI employed with: _____

FEE: Rs.5000/- per participant plus GST@18% aggregating to Rs.5900/-

FOR NOMINATIONS/ENQUIRIES, PLEASE CONTACT TRAINING COORDINATORS:

Mr. Tusharendra Barpanda Head – PDC-EZ, IIBF, Kolkata Email Id. head-pdcez@iibf.org.in Contact: 033 3539 2660	Ms Sneha Datta Email : je.pdcez3@iibf.org.in Mobile: 9903848920	Ms. Samriddhi Guha Email:je.pdcez2@iibf.org.in Mobile: 6290336252
self-sponsored participants are requested mail his/her name, mobile number, mail id, Bank/ FI employed with , designation to the mail ids je.pdcez2@iibf.org.in, je.pdcez3@iibf.org.in & head-pdcez@iibf.org.in kindly contact the numbers given above before making any payment		

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