



INDIAN INSTITUTE OF BANKING & FINANCE

(An ISO 21001:2018 Certified)

TRAINING PROGRAMME

ON

Risk Focused Internal Audit (RFIA) and Compliance for Banks/NBFCS/FIs

(VIRTUAL MODE)

26th & 27th November 2026 (Thursday & Friday)



Coordinated by:

**Indian Institute of Banking & Finance,
Professional Development Centre, South Zone,
94, Jawaharlal Nehru Road
Vadapalani, Chennai - 600 026**

Website: www.iibf.org.in

For Details & Nomination, please contact:

Individuals can also register for the program at their own cost

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BACKGROUND

During its 98 years of service, IIBF has emerged as a premier institute in the banking industry. Considering the emerging requirements of the bankers in the dynamic environment, IIBF has been offering certification courses and training programmes to the banking professionals.

The training programs are designed in consultation with the industry experts and human resources personnel with an endeavour to address the skill gaps on a continuous basis. The regular offerings in varied areas prepare the banking professionals ahead of the impending change.

IIBF offers virtual training programmes for its Blended Certification courses and many other programmes to facilitate learning across the country.

IIBF also has state-of-the-art training facilities at its Leadership Centre at Mumbai. It also has seven Professional Development Centres (PDCs) at Chennai, Delhi, & Kolkata, Mumbai, Guwahati, Lucknow & Bangalore conducting training sessions in virtual mode and physical training classes, covering any banking subjects.

PURPOSE

Internal Auditors play a vital role in the sound management of the Banks supporting the compliance, systems and procedures, apart from ensuring the safety and security.

The proposed training programme for the Audit Officers in Commercial Banks/FIs envisages competence building among the participants by improving their skills and knowledge level. The program takes the participants to different aspects of their functioning including the risk-based audit covering high value credit also, risk rating documents verifications and revenue leakage.

The programme will be facilitated by senior bankers and experienced faculties through interactive sessions, sharing of experiences and discussions on live cases.

This program has been designed taking into account the Audit Officers need to update themselves through discussions and interactions with the learned Faculties and peers.

This 2-day intensive program on **Risk-Focused Internal Audit (RFIA) and Compliance** is designed to align with the RBI's mandated shift from "transactional auditing" to "risk-based supervision." It covers the 2026 regulatory landscape, including the Banking Laws (Amendment) Act 2025 and the integration of **AI in Concurrent Audit**.

OBJECTIVES

- To Improve the knowledge and skill of the Audit Officers
- To have comprehensive understanding of the audit system, procedures and practices to protect the interest of the bank.
- To support in developing a cadre of professional internal auditor, they being the officials giving warning signal in advance and identifying the risks.

CONTENT OVERVIEW

- Relevance of Audit – Audit History Traditional vs Risk based audit - Audit policy.
- Risk Assessment and Audit Planning
- Risk Based Internal Audit, Risk Based Credit Audit and Risk based supervisions - with features & application
- Auditing the branches under the CBS environment. Auditing different department.
- Risk and compliance covering Operational Risk Management (ORM) and Credit Risk Management (CRM)
- Practical insights into different issues – capturing the signals, supporting the monitoring and risk management.
- Auditing fund based & non-fund based credit facilities and forex business
- Role of Auditor in KYC/AML compliance .
- The Compliance Function in 2026
- IT Governance and GRC- Audit automation.
- IT Audit & Cybersecurity Assurance
- Concurrent Audit & Real time monitoring
- Fraud Prevention & Audit Reporting

METHODOLOGY	TARGET GROUP	TRAINING PERIOD
Lectures, Case Studies, Discussions & interactions and sharing of experience on Virtual Platform (ZOOM/Webex)	Officials working in the Internal Audit/ Inspection Department at Central/Circle/Zonal/Regional level audit offices and Concurrent Auditors working in branches, officials working in SME/Retail/High Value advances/Frontline officials (service managers), desk officials attending compliance of audit report at Head Office.	26.11.2026 & 27.11.2026 (Thursday & Friday) Timings: 09.45 AM to 5.30 PM

DURATION

26th & 27th November 2026 (Thursday & Friday), from 09.45 AM to 5.30 PM.

FEES

Rs.5,000/- plus GST @18% aggregating to **Rs.5,900/-** per participant (In case of TDS deduction, please send us TDS certificate)

Programme fees may be remitted to the Institute's bank account as per the details given below:

Account Name	: Indian Institute of Banking & Finance
VAN FOR SOUTH ZONE, CHENNAI - TRAINING FEES	: IIBF28TRGSOUTHZONE
IFSC code	: SBIN0004266
BANK	: STATE BANK OF INDIA
PAN No: AAATT3309D and GSTIN No: 33AAATT3309D2ZY	

(Kindly provide your GST Number in the nomination letter to facilitate raising of invoice)

For further details and nomination please contact:

Mr. G . Padmanaban Head – PDC, South Zone, Chennai Phone: +91- 44 – 23721429 Mobile: 7397240035 E-mail: head-pdcsz@iibf.org.in	Ms. Priya Maria A Senior Executive, PDC-South Zone, Chennai Phone: +91- 44 -24722990 Mobile: +91 9566274128 E-mail: se.pdcsz1@iibf.org.in	Mr Harinadh Nethinti Junior Executive, PDC-South Zone, Chennai Phone: +91- 44 -24722990 Mobile : 8317550652 E-mail : je.pdcsz2@iibf.org.in
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“Effective Internal Audit is not merely about finding what went wrong – it is about identifying what could go wrong and strengthening controls before it happens.”

*Stronger Controls Today,
A Safer, More Resilient Tomorrow*

Stacked Blocks: RISK ASSESSMENT, INTERNAL CONTROLS, COMPLIANCE, AUDIT, GOVERNANCE, TRUST

Staircase Blocks: IDENTIFY RISKS, STRENGTHEN CONTROLS, ENSURE COMPLIANCE, ENHANCE GOVERNANCE, BUILD TRUST

Bottom Banner:

- ANTICIPATE RISKS
- STRENGTHEN CONTROLS
- REINFORCE COMPLIANCE
- BUILD TRUST



INDIAN INSTITUTE OF BANKING & FINANCE
(ISO 21001:2018 Certified Institute)

Nomination Form (for Banks/FIs & Other Organisations)

Programme Title: Risk Focused Internal Audit (RFIA) And Compliance for Banks/NBFCs/FIs

Date: 26th & 27th November 2026 (Thursday & Friday)

Programme Type: Virtual Mode

Details of participant(s):

Sl. No	Name (Mr./Ms./Mrs.)	Designation	Branch /Office	Mobile No. and Land Line No.	E-mail
1					
2					

Name of the Bank/FI	:
Address	:
GST No	:
PAN No	:
Phone/Mobile Number of Nominating Official :	
E-Mail ID of Nominating Official	:

FEES

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INDIAN INSTITUTE OF BANKING & FINANCE
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Nomination Form (for Self-Sponsored Candidates)

Programme Title: Risk Focused Internal Audit (RFIA) And Compliance for Banks/NBFCS/FIs

Date: 26th & 27th November 2026 (Thursday & Friday)

Programme Type: Virtual Mode

Details of the nominee:

Sl. No	Name (Mr./Ms./Mrs.)	Designation	Branch /Office	Mobile No. and Land Line No.	E-mail
1					

FEES

Rs.5,000/- plus GST @18% aggregating to **Rs.5,900/-** per participant (In case of TDS deduction, please send us TDS certificate)

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