INDIAN INSTITUTE OF BANKING & FINANCE

Professional Development Centre - Eastern Zone, Kolkata

Training Programme (Virtual Mode) on

"VARIOUS TYPES OF AUDITS AND STRATEGY FOR COMPLIANCE"

16th-17th NOVEMBER 2026 (02 Days: Monday -Tuesday)

For Nomination, please contact:

Mr. Tusharendra Barpanda	**Ms. Samriddhi Guha**	**Ms Sneha Datta**
Zonal Head, PDC-EZ, IIBF	Jr. Executive, PDC-EZ, IIBF	Jr. Executive, PDC-EZ, IIBF
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In case of any query please contact : 033 3539 2660, 9717005551, 6290336252, 9903848920

IIBF has emerged as a premier institute on banking and finance education. It is the largest institute of its kind in the world and is working with a Mission to "develop professionally qualified & competent bankers and finance professionals primarily through a process of education, training, examination, consultancy/ counselling and continuing professional development programmes". Indian Institute of Banking & Finance has been awarded the ISO 21001:2018 Standard. This standard is for Educational Institutes who have achieved the required level of excellence in their academic offerings. IIBF is among the few institutes to have been conferred upon this standard.

BACKGROUND OF THE PROGRAMME: Financial services firms must conduct regular audits to assure customers and industry regulators that they are complying with the law and best industry practices. These routine, internal reviews of the records, operations, and internal controls check that all systems are performing properly, while increasing transparency, efficiency, and customer security. These audits can be performed by hiring an outside accounting firm, or internally by an in-house audit team. Internal Auditors play a vital role in the sound management of the Banks supporting the compliance, systems and procedures, apart from ensuring the safety and security. The Internal Audit function provides vital assurance to all Stake Holders including the Bank's Board of Directors as to the quality of the Bank's control system. Audit function helps to reduce the risk of loss and reputational damage to the Bank. The proposed training programme for the Audit Officers in Commercial Banks/FIs envisages competence building among the participants by improving their skills and knowledge level. The programme takes the participants to different aspects of their functioning including the risk-based audit, risk rating documents verifications and revenue leakage. The programme will be facilitated by senior bankers and experienced faculties through interactive sessions, sharing experiences and discussions on live cases. This programme has been designed considering the Audit Officers need to update themselves through discussions and interactions with the learned Faculties and peers.

OBJECTIVES

- To have comprehensive understanding of the audit system, procedures and practices to protect the interest of the bank.
- Understanding of Regulatory Guidelines, Compliance of laid down systems & procedures.
- To have comprehensive understanding of the audit as a A tool of Risk Management, Identifying warning signals in operational area

CONTENT OVERVIEW

- Relevance of Audit – Audit History Traditional vs. Risk based audit - Audit policy
- Type of audit and salient feature:
- Timelines for removal of irregularities pointed out in Audit Reports
- Audit Reports & Investigation involving frauds
- Role of Regulators and Stance of Regulators over time: supervision / mentoring / control
- Overview of KYC / AML & CFT –
- Compliance in Digital Banking environment
- Compliance / Due diligence in Credit sanctions and disbursal; Execution of Documents and Charge creation

TARGET GROUP: Officers/ Managers/Senior managers/Chief Managers working in the Internal Audit Department at Central, Circle/Zonal/Regional level audit offices.

DATE & TIME:	METHODOLOGY:	FEE:
16th-17th November 2026 (10.00AM to 5.30PM)	Virtual (Online) interactive lectures through IIBF LMS. Case Studies, presentations and sharing of experiences. Programme can be attended from anywhere by using devices with Internet like PC, Laptop, Tablet or Mobile. Internet connection with good speed is required to stream live Virtual sessions.	Rs.5000/- per participant plus GST@18% aggregating to Rs.5900/-

REGISTRATION START DATE: 12.10.26 **REGISTRATION END DATE:12.11.26**	**TRAINING START DATE: 16.11.26** **TRAINING END DATE: 17.11.26**

CORRESPONDENCE ADDRESS: Indian Institute of Banking & Finance, PDC-EZ, Avani Heights, 2nd Floor, 59A, Jawaharlal Nehru Road, Near Ravindra Sadan Metro Station, Kolkata – 700020.

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Committed to professional excellence

INDIAN INSTITUTE OF BANKING & FINANCE
Professional Development Centre – Eastern Zone, Kolkata

NOMINATION FORM

Programme Title: **VARIOUS TYPES OF AUDITS AND STRATEGY FOR COMPLIANCE**

Mode of Programme: **Virtual Mode** **Date:** **16th-17th November 2026**

Details of Nomination (to be filled out by the Banks/FIs/Other Organizations):

Sl. No.	Name of Participant	Designation	Branch/ Office	Contact No.	E-mail Id.
1					
2					
3					
4					
5					

Fees Paid Rs.__________UTR/Transaction No.______________Date of Payment: ____________________
Name of Sponsoring Bank / FI:__________________________**GSTN of Bank/FI**: ______________________
Address of the Bank/FI:____
Phone/Mob. No.______________________E-mail id.: ____

FEE: Rs.5000/- per participant plus GST@18% aggregating to Rs.5900/- (In case of TDS deduction, please send us the TDS certificate. Kindly provide your GST Number in the nomination letter to facilitate the raising of Invoice). **Programme fees may be remitted to the credit of Institute's Bank Account as below**:

BANK ACCOUNT DETAILS
Account Name: Indian Institute of Banking & Finance
VAN FOR EASTERN ZONE TRAINING FEES: IIBF28TRGEASTZONE
IFSC: SBIN0004266
BANK: STATE BANK OF INDIA
GST No. 19AAATT3309D2ZO (Please note that last character is letter O)
PAN No. AAATT3309D

CONTACT DETAILS:

Mr Tusharendra Barpanda Head – PDC-EZ, IIBF, Kolkata Email Id. head-pdcez@iibf.org.in	Ms Sneha Datta Jr. Executive, PDC-EZ, IIBF Email : je.pdcez3@iibf.org.in	Ms. Samriddhi Guha Jr. Executive, PDC-EZ, IIBF Email:je.pdcez2@iibf.org.in

CORRESPONDENCE ADDRESS: Indian Institute of Banking & Finance, PDC-EZ, Avani Heights,2nd Fl., 59A, Jawaharlal Nehru Road, Nr. Ravindra Sadan Metro Station, Kolkata – 700020.

INDIAN INSTITUTE OF BANKING & FINANCE
Professional Development Centre – Eastern Zone, Kolkata

NOMINATION FORM FOR SELF-SPONSORED CANDIDATES

Programme Title VARIOUS TYPES OF AUDITS AND STRATEGY FOR COMPLIANCE

Mode of Programme: Virtual Mode **Date:** 16th-17th November 2026

Sl. No	Name (Mr/Mrs/Ms)	Designation	Contact No. (Mobile)	E-mail (PERSONAL)	Address (PERSONAL)	UTR NUMBER
1						

Name of Bank/ FI employed with: ____

Address of Bank/ FI employed with: ____

FEE: Rs.5000/- per participant plus GST@18% aggregating to Rs.5900/- (In case of TDS deduction, please send us the TDS certificate. Kindly provide your GST Number in the nomination letter to facilitate the raising of Invoice). **Programme fees may be remitted to the credit of Institute's Bank Account as below**:

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