INDIAN INSTITUTE OF BANKING & FINANCE

(An ISO 21001:2018 Certified Institute)

Professional Development Centre – Western Zone

Virtual Training Program for Banks, NBFCs & FIs on "Management of Operational Risk with an Overview of Climate Risk and DPDP Act"

from 21st to 24th September 2026 (4 Half Days)

Open to Members & Non-Members

Individual participants can also register at their own cost.

Program Co-ordinators: Mr. Kuldeep Jindal & Mr. Shijoy Joseph

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ABOUT INDIAN INSTITUTE OF BANKING & FINANCE

Indian Institute of Banking & Finance (IIBF), a non-profit organisation, established on **30th April 1928** and formerly known as the Indian Institute of Bankers (IIB), is the **apex professional body for India's banking and financial services sector and its employees**.

IIBF is governed by a **Governing Council comprising representatives from RBI, SBI, IBA, major public and private sector banks, cooperative banks, small finance banks and academia**. The Governing Council is headed by the **President – Chairman, State Bank of India (SBI) and Indian Banks' Association (IBA)**.

With **98 years of service**, IIBF has emerged as a **premier institution in banking and finance education**, with a strong focus on **examinations, training, research and professional development**.

With **over 11 lakh members, IIBF is the largest institute of its kind globally**. It offers flagship qualifications including **JAIIB, CAIIB, diplomas and specialised certifications**, aligned with evolving industry requirements.

IIBF also represents India in the **Asian-Pacific Association of Banking Institutes (APABI)**, strengthening its **global engagement and collaboration** in banking education and professional standards.

Its integrated framework encompasses **education, training, examinations, consultancy and continuous professional development**, delivered through its **Leadership Centre in Mumbai and Professional Development Centres across India**, fostering **future-ready, competent and resilient finance professionals**.

ABOUT THE PROGRAMME

The financial services sector is facing an increasingly complex risk environment, with **operational disruptions, fraud, cyber threats, technology dependencies, outsourcing, climate-related risks and data privacy concerns** impacting Banks, NBFCs and Financial Institutions (FIs).

The programme will provide practical insights into **Operational Risk Management, risk identification, KRIs, Three Lines of Defence, fraud and process risks, outsourcing, Business Continuity and operational resilience**, along with an overview of **Climate Risk and the DPDP Act**.

The **half-day virtual format** is designed for working professionals, enabling **focused learning with minimal disruption to work**, convenient participation without travel, better knowledge retention, and opportunities to **apply learning to workplace situations between sessions**.

CONTENT OVERVIEW

Day 1: Strengthening Operational Risk & Control Frameworks

Session 1: Operational Risk & Regulatory Framework - Operational risk principles, Basel recommendations, regulatory expectations and ORM frameworks.

Session 2: Operational Risk at Branches - KRIs, risk identification, Three Lines of Defence, risk culture, continuity and resilience.

Session 3: IT Risk & Cyber Security - GRC framework, access controls, cyber governance, risk metrics and emerging threats.

Session 4: Risk Control Self-Assessment (RCSA) - Loss events, risk identification, control assessment, mitigation and practical exercises.

Day 2: Managing Fraud, AML & Customer Risks

Session 5: Fraud Risk Management - Fraud policies, classification, investigation, reporting, prevention and recovery.

Session 6: KYC/AML-Related Frauds - Suspicious transactions, ML/TF risks, AML monitoring and emerging fraud trends.

Session 7: Banking Operations & Deposit Frauds - Cheque frauds, sensitive accounts, reconciliation, operational controls and case studies.

Session 8: Customer Due Diligence & Onboarding - KYC, customer risk profiling, beneficial ownership, Re-KYC and digital onboarding.

Day 3: Emerging Risks – Digital, Third-Party & Climate

Session 9: Transaction Monitoring - Red flags, EDD, AML alerts, ML/TF typologies, sanctions and cross-border risks.

Session 10: Cyber Frauds & Prevention - Phishing, vishing, digital frauds, cyber awareness, RBI initiatives and DPDP risks.

Session 11: Third-Party & Outsourcing Risks - Third-party assessment, outsourcing controls, data security and monitoring.

Session 12: Climate Risk Management - Physical and transition risks, portfolio impact, risk assessment and regulatory disclosures.

METHODOLOGY

1. **Expert-Led & Interactive Learning** - Virtual live sessions led by experienced faculty, featuring expert lectures, presentations, discussions, Q&A, and experience sharing.

2. **Practical & Industry-Oriented Learning** - Use of practical illustrations, case studies, real-life examples, and industry practices relevant to the programme theme.

3. **Regulatory Insights & Learning Assessment** - Coverage of relevant regulatory developments, emerging challenges, risk areas, and industry best practices, along with Entry and Exit Tests through the LMS, wherever applicable.

4. **Learning Resources & Recordings** - Presentation materials/PPTs used during the programme will be shared after completion of the training. Session recordings will be available on the LMS for **3 days** after each training day on a view-only basis.

PARTICIPANT GUIDELINES

1. **LMS Access** - Login credentials will be shared with participants on their registered email IDs prior to commencement of the programme.

2. **Device, Connectivity & Participation** - Participants should join using an audio/video-enabled laptop or desktop with a stable internet connection and are requested to keep their camera ON during sessions. Photographs/screenshots may be taken for academic and documentation purposes.

3. **Technical Requirements & IT Support** - Participants are advised to use the latest versions of **Zoom Workplace** and **Google Chrome**. Where access is restricted on official devices, participants may contact their IT Team/IIBF for necessary whitelisting or use a personal device and internet connection.

4. **Attendance & Certification** - A minimum of **75% attendance is mandatory** for issuance of the programme certificate.

TARGET GROUP

- **Risk & Operational Risk Officers** – Responsible for risk identification, assessment, monitoring and mitigation.

- **Compliance & Regulatory Officers** – Handling regulatory compliance, policy implementation and emerging regulatory requirements.

- **Internal Audit & Inspection Officials** – Involved in identifying operational gaps, control weaknesses and risk exposures.

- **Fraud Risk & Vigilance Officers** – Managing fraud risks, preventive controls, incident reporting and related operational risks.

- **IT, Cybersecurity & Information Security Professionals** – Managing technology-related operational risks, cyber risks, digital processes and resilience.

- **Data Protection & Privacy Officers** – Handling data governance, privacy controls and implementation of data protection requirements.

- **ESG & Climate Risk Professionals** – Handling climate-related risks, ESG frameworks, sustainability practices and climate-risk assessment.

- **Legal & Governance Officials** – Dealing with legal, governance, policy and regulatory matters related to operational risk.

- **Operations & Branch Banking Officials** – Managing day-to-day processes, internal controls, business continuity and operational resilience.

- **Credit & Business Functionaries** – Managing operational and emerging risks associated with lending, business processes and customer-facing activities.

- **Business Continuity & Resilience Professionals** – Responsible for continuity planning, crisis management and operational resilience.

- **Senior & Middle Management** – Overseeing risk governance, risk appetite, internal controls, compliance and strategic risk management.

DURATION

4 Half Days - from 21st to 24th September 2026

Timings: 10.00 A.M. to 02.05 P.M. (First day session at 09.45 am)

FEES

₹ 7500/- plus GST 18% (₹ 1350/-) aggregating to ₹ 8850/- per participant
(In case of TDS deduction, please send us TDS certificate).
Discounts are available for institutions sending bulk nominations

Programme fees may be remitted to the credit of Institute's account as given below:

Beneficiary Name	:	Indian Institute of Banking and Finance
Name of the Bank Branch	:	State Bank of India, Vidyavihar (West), Mumbai.
SB Account No	:	42895503864
IFSC Code	:	SBIN0011710
PAN No.: AAATT3309D		GSTN No.: 27AAATT3309D1ZS

In case of any quires, kindly contact

Program Co-ordinators	
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**** Last Date of Registration is 18th September 2026 ****

To register kindly email, the following details

Sr. No.	Name (as required on certificate)	Institution Name	Branch/ Office/Department	Designation	Mobile No. (WhatsApp)	E-mail Id
1	Ms.					
2	Mr.					
Add additional rows as required						

Institution Details		
Name of Bank / Institution	:	
GST No./ ISD No. (For Invoice)	:	
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Details of Nominating Authority		
Name	:	
Designation	:	
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