



INDIAN INSTITUTE OF BANKING & FINANCE

(An ISO 21001:2018 Certified)

TRAINING PROGRAMME

ON

Risk Focused Internal Audit (RFIA) and Compliance for Banks/NBFCs/FIs

(VIRTUAL MODE)

18th to 19th September 2026 (Friday & Saturday)



Coordinated by:

Indian Institute of Banking & Finance,
Professional Development Centre, South Zone,
94, Jawaharlal Nehru Road
Vadapalani, Chennai - 600 026

Website: www.iibf.org.in

For Details & Nomination, please contact:

Individuals can also register for the program at their own cost

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Training Programme on Risk Focused Internal Audit (RFIA) and Compliance for Banks/NBFCs/FIs

BACKGROUND

During its 98 years of service, IIBF which is an "Institute of the Bankers, for the Bankers and by the Bankers", has emerged as a premier institute in banking and finance education. Considering the emerging requirements of the bankers in the ever changing dynamic environment, IIBF has been providing training to bankers in select areas. IIBF has world class training facilities at its Leadership Development Center, Mumbai. It also has seven Professional Development Centres (PDCs) at Chennai, Delhi, Kolkata, Mumbai, Guwahati, Lucknow & Bengaluru.

PURPOSE

Internal Auditors play a vital role in the sound management of the Banks supporting the compliance, systems and procedures, apart from ensuring the safety and security.

The proposed training programme for the Audit Officers in Commercial Banks/FIs envisages competence building among the participants by improving their skills and knowledge level. The program takes the participants to different aspects of their functioning including the risk-based audit covering high value credit also, risk rating documents verifications and revenue leakage.

The programme will be facilitated by senior bankers and experienced faculties through interactive sessions, sharing of experiences and discussions on live cases.

This program has been designed taking into account the Audit Officers need to update themselves through discussions and interactions with the learned Faculties and peers.

This 2-day intensive program on **Risk-Focused Internal Audit (RFIA) and Compliance** is designed to align with the RBI's mandated shift from "transactional auditing" to "risk-based supervision." It covers the 2026 regulatory landscape, including the Banking Laws (Amendment) Act 2025 and the integration of **AI in Concurrent Audit**.

OBJECTIVES

- To Improve the knowledge and skill of the Audit Officers
- To have comprehensive understanding of the audit system, procedures and practices to protect the interest of the bank.
- To support in developing a cadre of professional internal auditor, they being the officials giving warning signal in advance and identifying the risks.

CONTENT OVERVIEW

- Relevance of Audit – Audit History Traditional vs Risk based audit - Audit policy.
- Risk Assessment and Audit Planning
- Risk Based Internal Audit, Risk Based Credit Audit and Risk based supervisions - with features & application
- Auditing the branches under the CBS environment. Auditing different department.
- Risk and compliance covering Operational Risk Management (ORM) and Credit Risk Management (CRM)
- Practical insights into different issues – capturing the signals, supporting the monitoring and risk management.
- Auditing fund based & non-fund based credit facilities and forex business
- Role of Auditor in KYC/AML compliance .
- The Compliance Function in 2026
- IT Governance and GRC- Audit automation.
- IT Audit & Cybersecurity Assurance
- Concurrent Audit & Real time monitoring
- Fraud Prevention & Audit Reporting

DURATION

18th to 19th September 2026 (Friday & Saturday), from 09.45 AM to 5.30 PM.

METHODOLOGY	TARGET GROUP	TRAINING PERIOD
Lectures, Case Studies, Discussions & interactions and sharing of experience on Virtual Platform (ZOOM/Webex)	Officials working in the Internal Audit/ Inspection Department at Central/Circle/Zonal/Regional level audit offices and Concurrent Auditors working in branches, officials working in SME/Retail/High Value advances/Frontline officials (service managers), desk officials attending compliance of audit report at Head Office.	18.09.2026 & 19.09.2026 (Friday & Saturday) Timings: 09.45 AM to 5.30 PM

FEES

Rs.5,000/- plus GST @18% aggregating to **Rs.5,900/-** per participant (In case of TDS deduction, please send us TDS certificate)

Programme fees may be remitted to the credit of Institute's account with State Bank of India, details of which are given below:

Name of the Bank branch	: State Bank of India, Vidya Vihar(West), Mumbai.
Account no	: 37067835882
IFSC code	: SBIN0011710
PAN No: AAATT3309D and GSTIN No: 33AAATT3309D2ZY	

(Kindly provide your GST Number in the nomination letter to facilitate raising of invoice)

For further details and nomination please contact:

Mr. G . Padmanaban Head – PDC, South Zone, Chennai Phone: +91- 44 - 23721429 Mobile: 7397240035 E-mail: head-pdcsz@iibf.org.in	Ms. Priya Maria A Senior Executive, PDC-South Zone, Chennai Phone: +91- 44 -24722990 Mobile: +91 9566274128 E-mail: se.pdcsz1@iibf.org.in	Mr Harinadh Nethinti Junior Executive, PDC-South Zone, Chennai Phone: +91- 44 -24722990 Mobile : 8317550652 E-mail : je.pdcsz2@iibf.org.in
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Nomination Form (for Banks/FIs & Other Organisations)

Programme Title: Risk Focused Internal Audit (RFIA) And Compliance for Banks/NBFCs/FIs

Date: 18th & 19th September 2026 (Friday & Saturday)

Programme Type: Virtual Mode

Details of participant(s):

Sl. No	Name (Mr./Ms./Mrs.)	Designation	Branch /Office	Mobile No. and Land Line No.	E-mail
1					
2					

Name of the Bank/FI	:
Address	:
GST No	:
PAN No	:
Phone/Mobile Number of Nominating Official :	
E-Mail ID of Nominating Official	:

Please send your nominations at the earliest to:

Mr. G . Padmanaban Head – PDC, South Zone, Chennai Phone: +91- 44 – 23721429 Mobile: 7397240035 E-mail: head-pdcsz@iibf.org.in	Ms. Priya Maria A Senior Executive, PDC-South Zone, Chennai Phone: +91- 44 -24722990 Mobile: +91 9566274128 E-mail: se.pdcsz1@iibf.org.in	Mr Harinadh Nethinti Junior Executive, PDC-South Zone, Chennai Phone: +91- 44 -24722990 Mobile : 8317550652 E-mail : je.pdcsz2@iibf.org.in
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INDIAN INSTITUTE OF BANKING & FINANCE
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Nomination Form (for Self-Sponsored Candidates)

Programme Title: Risk Focused Internal Audit (RFIA) And Compliance for Banks/NBFCS/FIs

Date: 18th & 19th September 2026 (Friday & Saturday)

Programme Type: Virtual Mode

Details of the nominee:

Sl. No	Name (Mr./Ms./Mrs.)	Designation	Branch /Office	Mobile No. and Land Line No.	E-mail
1					

Please send your nominations at the earliest to:

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