



INDIAN INSTITUTE OF BANKING & FINANCE
Professional Development Centre - Eastern Zone, Kolkata

Training Programme (Virtual Mode) on
“Various types of Audits and Strategy for Compliance”

20th-21st May 2024

LAST DATE TO APPLY : 17.05.2024

Self-Sponsored Candidates may also apply



For Details & Nomination, please contact:

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IIBF has emerged as a premier institute on Banking and Finance education. It is the largest institute of its kind in the world and is working with a Mission to “develop professionally qualified & competent bankers and finance professionals primarily through a process of education, training, examination, consultancy/counselling and continuing professional development programs”.

BACKGROUND OF THE PROGRAMME

Financial services firms must conduct regular audits to assure customers and industry regulators that they are complying with the law and best industry practices. These routine, internal reviews of the records, operations, and internal controls check that all systems are performing properly, while increasing transparency, efficiency, and customer security. These audits can be performed by hiring an outside accounting firm, or internally by an in-house audit team. Internal Auditors play a vital role in the sound management of the Banks supporting the compliance, systems and procedures, apart from ensuring the safety and security. The Internal Audit function provides vital assurance to all Stake Holders including the Bank's Board of Directors as to the quality of the Bank's control system. Audit function helps to reduce the risk of loss and reputational damage to the Bank. NEED AND PURPOSE OF AUDIT:

1. *Compliance of Regulatory Guidelines*
2. *Compliance of laid down systems & procedures.*
3. *Suggestion to improve in systems & procedures.*
4. *A tool of Risk Management.*
5. *Identifying warning signals in operational area*

The proposed training programme for the Audit Officers in Commercial Banks/FIs envisages competence building among the participants by improving their skills and knowledge level. The programme takes the participants to different aspects of their functioning including the risk-based audit, risk rating documents verifications and revenue leakage. The programme will be facilitated by senior bankers and experienced faculties through interactive sessions, sharing of experiences and discussions on live cases. This programme has been designed taking into account the Audit Officers need to update themselves through discussions and interactions with the learned Faculties and peers.

CONTENT OVERVIEW

- **Relevance of Audit – Audit History**
- **Traditional vs. Risk based audit - Audit policy**
- **Stance of Regulators over time: supervision / mentoring / control**
- **Audit Reports & Investigation involving frauds**
- **TYPE OF AUDIT AND SALIENT FEATURE:**

External Audit	Internal Audit
<ul style="list-style-type: none">➤ Statutory Audit➤ GST & Tax Audit➤ Stock & Receivable Audit➤ Forensic Audit➤ Concurrent Audit➤ Information System Audit	<ul style="list-style-type: none">➤ Risk Based Internal Audit➤ Revenue Audit➤ Information System Audit➤ Management Audit➤ Credit Compliance Audit➤ Other Audits

TARGET GROUP: Officers/ Managers/Senior managers/Chief Managers working in the Internal Audit Department at Central, Circle/Zonal/Regional level audit offices

DURATION	METHODOLOGY	TRAINING FEES
<p>2-days during</p> <p>20th-21st</p> <p>May 2024</p> <p>Programme</p> <p>Timing: 10.00</p> <p>AM to 5.30 PM</p> <p>each day</p>	<p>Virtual (Online Based on Zoom) interactive lectures, Case Studies, presentations and sharing of experiences Participants can join the programme from anywhere by using devices with Internet like PC, Laptop, Tablet or Mobile. Internet connection with good speed is required to stream live Virtual sessions.</p> <p><i>Sessions will be handled by experienced faculties and experts from industry.</i></p>	<p>Rs. 4,000/- per participant plus GST @18% aggregating to Rs. 4,720/-.</p> <p>(Kindly provide your GST Number in the nomination letter to facilitate the raising of Invoice)</p>

Last Date to Apply: 17.05.2024

CORRESPONDENCE ADDRESS: Indian Institute of Banking & Finance, PDC-EZ, Avani Heights, 2nd Floor, 59A, Jawaharlal Nehru Road, Near Rabindra Sadan Metro Station, Kolkata – 700020.



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Professional Development Centre – Eastern Zone, Kolkata

Programme Title: Various types of Audits and Strategy for Compliance

Mode of Programme: Virtual Mode Date: 20th-21st May 2024

NOMINATION FORM

LAST DATE TO APPLY : 17th May, 2024

Details of Nomination (to be filled by the Banks/FIs/Other Organizations)

Sl. No.	Name of Participant	Designation	Branch/Office	Contact No.	E-mail Id.

Fees Paid Rs. _____ UTR/Transaction No. _____ Date of Payment: _____
Name of Sponsoring Bank / FI: _____ **GSTN of Bank/FI:** _____
Address of the Bank/FI: _____ Phone/Mob. No. _____
E-mail id.: _____

FEE : Rs. 4,000/- per participant plus GST @ 18% i.e. Rs.720/- aggregating to Rs. 4,720/- (In case of TDS deduction, please send us the TDS certificate).

Programme fees may be remitted to the credit of Institute's Bank Account as below:

- ✓ **Name of Account Holder:** Indian Institute of Banking & Finance
- ✓ **Name of the Bank Branch:** State Bank of India, Vidya Vihar (West), Mumbai.
- ✓ **Savings Account No.** 37067835940 **IFSC:** SBIN0011710
- ✓ **PAN No.** AAATT3309D; **GST No.** 19AAATT3309D2ZO, **State - West Bengal**

CORRESPONDENCE ADDRESS : Indian Institute of Banking & Finance, PDC-EZ, Avani Heights, 2nd Fl., 59A, Jawaharlal Nehru Road, Nr. Ravindra Sadan Metro Station, Kolkata – 700020

(Kindly provide your GST Number in the nomination letter to facilitate the raising of Invoice)



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Professional Development Centre – Eastern Zone, Kolkata

NOMINATION FORM FOR SELF-SPONSORED CANDIDATES

LAST DATE TO APPLY : 17th May, 2024

Programme Title Various types of Audits and Strategy for Compliance

Mode of Programme: Virtual Mode Date: 20th-21st May 2024

Sl. No	Name (Mr/Mrs/Ms)	Designation	Contact No. (Mobile)	E-mail (PERSONAL)	Address (personal)	UTR NUMBER

Name of Bank/ FI employed with: _____

Address of Bank/ FI employed with: _____

FEE : Rs. 4,000/- per participant plus GST @ 18% i.e. Rs.720/- aggregating to Rs. 4,720/- (In case of TDS deduction, please send us the TDS certificate).

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CONTACT DETAILS:

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