



INDIAN INSTITUTE OF BANKING & FINANCE

(An ISO 21001:2018 Certified Institute)

Professional Development Centre – West Zone

**Program on “Management of Operational Risk
in Banks & FIs”**

In Virtual Mode

From 05th to 07th August 2024



“Individual participants can also register for the programme at their own cost”

Program Co-Ordinators : Mr. Shiv Kumar Gupta & Shijoy Joseph

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Indian Institute of Banking & Finance

Professional Development Centre, Western Zone

192, Maker Tower, F Wing, 19th Floor, Cuffe Parade Mumbai – 400005.

Website : www.iibf.org.in

BRIEF BACKGROUND

Established on 30th April 1928, IIBF which is an “Institute of the Bankers, for the Bankers and by the Bankers” has during its 96 years of service been actively involved in examination, training & academics and has emerged as a premier institute in banking and finance education.

Considering the emerging requirements of bankers in the ever-changing dynamic environment, IIBF has been providing quality training to bankers in select areas. The training programs are designed in consultation with the industry experts and human resources personnel with an endeavour to address the skill gaps on a continuous basis.

The regular offerings in varied areas prepare the banking professionals ahead of the impending change. IIBF has state-of-the-art training facilities at its Leadership Centre at Mumbai and it has four Professional Development Centers (PDCs) at Mumbai, Delhi, Chennai and Kolkata catering to the increasing demand for the focused training programmes.

ABOUT THE PROGRAMME

Operational risk is defined in the capital framework as the risk of loss resulting from inadequate or failed internal processes, people and systems or from external events. Operational risk is inherent in all banking products, activities, processes and systems, and the effective management of operational risk is a fundamental element of a bank’s risk management programme.

Operational risk is pervasive, complex, and dynamic. Unlike market and credit risk, which tend to be in specific areas of business, operational risk is inherent in all business processes. Operational risk may manifest in a variety of ways in the banking Operations.

Negligence in managing operational risk can impact the internal business processes, growth and customer service. Banks with a strong culture of risk management and ethical business practices are less likely to experience damaging operational risk events and are better placed to effectively deal with such events, if they occur.

OBJECTIVES

- The programme aims at developing understanding among participants about :
- Various components of Operational Risk management.
- Analyzing Operational risk environment and its mitigation.
- Role of Information and Communication technology in operational risk management framework
- Disruption Scenarios and BCP

CONTENT OVERVIEW

- Basel Committee and other Regulatory Guidelines on Operational Risk framework
- Business Line Identification
- Operational Risk Assessment tools
- Modeling and budgeting of expected future losses due to operational risk
- Internal and External Frauds- Preventive aspects
- Cyber Risk Management
- MIS for operational risk
- Contingency Strategies-BCP and Disaster Recovery
- Monitoring & Control of Operational Risk
- Role of internal Audit in Operational Risk Management

METHODOLOGY

- Virtual (Online) interactive lectures, Case Studies, presentations and sharing of experiences on ZOOM.
- Live sessions by Faculty shall be conducted and participants can participate in the sessions from home or office using Laptop/Desktop/iPad/Smart Phones.
- Login details for accessing the sessions will be shared on registered mail ids of participants 24 hours before the program.

TARGET GROUP

Junior and middle-level officers working in credit departments at branches, central processing cells, controlling offices, internal audit and training departments.

(Banks may send their nominations in bulk.)

DURATION

3 Days - From 05th to 07th August 2024

Timings: 10.00 A.M. to 05.15 P.M. (First day session at 09.45 am)

FEES

₹ 6000/- (Six Thousand only) plus GST @18% aggregating to ₹ 7080/- per participant

(In case of TDS deduction, please send us TDS certificate).

Programme fees may be remitted to the credit of Institute's account as given below:

Beneficiary Name : Indian Institute of Banking and Finance

Name of the Bank Branch : State Bank of India, Vidyavihar (West), Mumbai.

SB Account No : 42895503864

IFSC Code : SBIN0011710

PAN No. : AAATT3309D GSTN No. : 27AAATT3309D1ZS

(Kindly provide your GST Number in the nomination letter to facilitate raising of invoice)

In case of any quires, kindly contact

Mr. Shiv Kumar Gupta	Mr. Shijoy Joseph Vadasery
Zonal Head	Junior Executive
head-pdcwz@iibf.org.in	je.pdcwz1@iibf.org.in
Mob : +91 9555233865	Mob : +91 9820127826
Landline : 022 69437301	Landline : 022 69437302

**** Last Date of Registration is 2nd Aug 2024 ****



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Nomination Form – For Individuals

Name of the program	: Management of Operational Risk in Banks & FIs
Dates	: 05 th August 2024 (Mon) to 07 th August 2024 (Wed) – 3 Days
Time	: 10.00 a.m. to 5.15 p.m.
Program Type	: Virtual (Online) mode

Nominee Details

Sr. No.	Name	Designation	Mobile No. (WhatsApp)	E-mail	UTR No.
1					

***Login details of the program shall be shared on personal mail id of nominee.**

Fees - Rs. 6000/- (Six Thousand only) plus GST @18% aggregating to Rs.7080/- per participant (In case of TDS deduction, please send us TDS certificate)

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Mr. Shijoy Joseph Vadasery

Junior Executive

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Mr. Shiv Kumar Gupta

Zonal Head

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Western Zone - Mumbai

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Nomination Form – For Banks & FIs

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Time	: 10.00 a.m. to 5.15 p.m.
Program Type	: Virtual (Online) mode

Nominee Details

Sr. No.	Name	Designation	Branch/ Office	Mobile No. (WhatsApp)	E-mail (Personal)	E-mail (Official)
1						
2						

Name of Bank/ FI	:
Address	:
GST Details	:
PAN No.	:
Phone/Mobile of Nominating Official	:
E-Mail of Nominating Official	:

***Login details of the program shall be shared on personal mail id of nominee.**

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