



**INDIAN INSTITUTE OF BANKING & FINANCE**  
(ISO 9001:2015 Certified)

**PROGRAM ON TRADE BASED MONEY LAUNDERING**

**In VIRTUAL (Online) MODE**

**13<sup>th</sup> to 14<sup>th</sup> December 2023 (Wednesday & Thursday)**



**“Individuals can also register for the programme at their own cost”**

**Coordinated by:**

**Indian Institute of Banking & Finance,  
Professional Development Centre, South Zone,  
94, Jawaharlal Nehru Road  
Vadapalani, Chennai - 600 026**

**Website: [www.iibf.org.in](http://www.iibf.org.in)**

**For Details & Nomination, please contact:**

**Mr. R Govindarajan**  
**Head – PDC, South Zone, Chennai**  
**Phone: +91- 44 - 23721429**  
**Mobile: +91 9619160141**  
**Email: [head-pdcsz@iibf.org.in](mailto:head-pdcsz@iibf.org.in)**

**Ms. Priya Maria A**  
**Senior Executive, PDC-South Zone**  
**Phone: +91- 44 -24722990**  
**Mobile: +91 9566274128**  
**Email: [se.pdcsz1@iibf.org.in](mailto:se.pdcsz1@iibf.org.in)**

## BACKGROUND

During its 95 years of service, IIBF has emerged as a premier institute in the banking industry. Considering the emerging requirements of the bankers in the dynamic environment, IIBF has been offering certification courses and training programmes to the banking professionals.

The training programs are designed in consultation with the industry experts and human resources personnel with an endeavour to address the skill gaps on a continuous basis. The regular offerings in varied areas prepare the banking professionals ahead of the impending change.

IIBF offers virtual training programmes for its Blended Certification courses and many other programmes to facilitate learning across the country.

IIBF also has state-of-the-art training facilities at its Leadership Centre at Mumbai. The four Professional Development Centres (PDCs) at Delhi, Chennai, Kolkata & Mumbai cater to the increasing demand for the focused training programmes.

## PURPOSE

Money laundering is an illegal activity carried out by criminals which occurs outside the normal range of economic and financial statistics. Every year, US\$ 800 billion to US\$ 2 trillion is laundered through legal financial system. This is about 2–5 percent of the global GDP.

Over the years, the people and firms with criminal intents have found the international trade system as an easy conduit to launder the proceeds of crime with a relatively low risk of detection. The Regulators world over have, therefore, categorised trade finance as a “higher risk” business from the view point of money laundering and terrorist financing. Due to the diversity of tradable goods and services, involvement of multiple parties, and the speed of trade transactions, Trade-based money laundering (TBML) poses a profound and significant risk to the stability of the Financial system. The integrity of the banking and financial services marketplace depends heavily on the perception that it functions within a framework of high legal, professional, and ethical standards. A reputation for integrity is the one of the most valuable assets of a financial institution.

Given this background, a need has been felt by the Banks and Financial Institutions to sensitise their officials handling International Banking Business particularly Trade Finance related activities including SWIFT at branches, back offices as well as controlling offices. IIBF has, therefore, designed this special programme to bridge up the knowledge and skill gaps in this important and highly sensitive functional area.

## OBJECTIVES

The Program aims at creating awareness and conceptual clarity amongst participants about following key areas :

- International standards on combating money laundering and financing of terrorism & proliferation.
- Identification of Risk indicators in the environment.
- Key challenges in the monitoring and control of Trade Based Money Laundering.
- Lessons learnt from the Modus Operandi of some big Frauds

## CONTENT OVERVIEW

- ✚ Trade Based Money Laundering – Concept & Scope
- ✚ Role of International and National Regulatory bodies
- ✚ Economic sectors and products vulnerable to TBML activity, and Types of businesses at risk.
- ✚ Structural Risk Indicators, Trade Activity Risk Indicators, Trade Document Risk Indicators, Transaction activity risk indicators.
- ✚ Detection of Early Warning Signals/Red Flags related to Export /Import transactions and Financial Instruments such as LCs , Guarantee/SBLC etc.
- ✚ Perpetration of Frauds in the area of Trade Finance – Preventive Vigilance measures –Live case studies and discussion about recent money laundering cases in India
- ✚ Importance of Risk-based approach ("RBA") to the effective implementation of an AML/CFT regime.

## **DURATION**

**13<sup>th</sup> & 14<sup>th</sup> December'2023 (2 days), from 10.00 AM to 5.00 PM.**

<b><u>METHODOLOGY</u></b>	<b><u>TARGET GROUP</u></b>	<b><u>TRAINING PERIOD</u></b>
Sessions are conducted on virtual platform (ZOOM). Live sessions delivered by faculty are made interactive by discussing <b><u>case studies</u></b> during the sessions.	Officers handling International Banking /Forex Business particularly Trade Finance related activities/ Compliance department & Fraud Risk Management officials working in Head Office & Controlling Offices.	<b><u>Timings:</u></b> 10.00 AM to 5.00 PM

## **FEES**

Rs.4000/- per participant plus GST @18% i.e. Rs.720/- aggregating to Rs. 4,720/- (In case of TDS deduction, please send us TDS certificate)

Programme fees may be remitted to the credit of Institute's account as given below:

- ✓ **Name of the Bank branch:** State Bank of India, Vidya Vihar(West), Mumbai.
- ✓ **Account no:** 37067835882 IFSC code: SBIN0011710
- ✓ **PAN No:** AAATT3309D and **GSTIN No.** 33AAATT3309D2ZY

**(Kindly provide your GST Number in the nomination letter to facilitate raising of invoice)**

For further details and nomination please contact:

**Mr. R Govindarajan**  
Head – PDC, South Zone, Chennai  
Phone: +91- 44 - 23721429  
Mobile: +91 9619160141  
Email: [head-pdcsz@iibf.org.in](mailto:head-pdcsz@iibf.org.in)

**Ms. Priya Maria A**  
Senior Executive, PDC-South Zone  
Phone: +91- 44 -24722990  
Mobile: +91 9566274128  
Email: [se.pdcsz1@iibf.org.in](mailto:se.pdcsz1@iibf.org.in)



**INDIAN INSTITUTE OF BANKING & FINANCE**  
(ISO 9001:2015 Certified)

**NOMINATION FORM**

**Programme title: Programme on Trade Based Money Laundering**

**Date: 13<sup>th</sup> & 14<sup>th</sup> December'2023 (Wednesday & Thursday)**

**Programme Type: On-line**

**PARTICIPANTS NOMINATED:**

Sl. No	Name (Mr./Ms./Mrs.)	Designation	Branch /Office	Mobile No. and Land Line No.	E-mail
1					
2					
3					
4					

Bank/Organisation: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_ Pin Code: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_ E-mail: \_\_\_\_\_

**Fee**

Rs. 4,000/-per participant plus GST@18% i.e. Rs.720/- aggregating to **Rs.4,720/-**  
(In case of TDS deduction, please send us TDS certificate)

**Programme fees to be remitted to credit of Institute's account details as follows:**

- **Name of the Bank branch:** State Bank of India, Vidya vihar (West).
- **Account no:** 37067835882 **IFSC code:** SBIN0011710
- **PAN No:** AAATT3309D and **GSTIN No.** 33AAATT3309D2ZY
- Please quote your GST Number in the nomination form.

**Please send your nominations at the earliest to:**

**Programme Co-ordinator**

**Mr. R Govindarajan**  
Head – PDC, South Zone, Chennai  
Phone: +91- 44 - 23721429  
Mobile: +91 9619160141  
Email: [head-pdcsz@iibf.org.in](mailto:head-pdcsz@iibf.org.in)

**Ms. Priya Maria A**  
Senior Executive, PDC-South Zone  
Phone: +91- 44 -24722990  
Mobile: +91 9566274128  
Email: [se.pdcsz1@iibf.org.in](mailto:se.pdcsz1@iibf.org.in)



**INDIAN INSTITUTE OF BANKING & FINANCE**  
(ISO 9001:2015 Certified)

**FORM FOR SELF-SPONSORED CANDIDATES**

**Programme title:** Programme on Trade Based Money Laundering

**Date:** 13<sup>th</sup> & 14<sup>th</sup> December 2023 (Wednesday & Thursday)

**Programme Type:** **On-line**

**Details of candidate:**

Sl. No	Name (Mr./Ms./Mrs.)	Designation	Contact No. (Mobile)	E-mail (PERSONAL)	UTR NUMBER/DATE towards fees remitted
1					

**Name & Address of Bank/ FI employed with:**

---

**Postal Address of participant:**

---

**PIN code:** \_\_\_\_\_

**Fee:** Rs. 4,000/-per participant plus GST@18% i.e. Rs.720/- aggregating to **Rs.4,720/-**  
Programme fees to be remitted to credit of Institute's account details as follows:

- **Account Name:** Indian Institute of Banking & Finance
- **Name of the Bank branch:** State Bank of India, Vidya Vihar (West), Mumbai
- **Account no:** 37067835882      **IFSC code:** SBIN0011710
- **PAN No:** AAATT3309D and **GSTIN No.** 33AAATT3309D2ZY

Please send your nominations at the earliest to:

Mr. R Govindarajan Head – PDC, South Zone, Chennai Phone: +91- 44 - 23721429 Mobile: +91 9619160141 Email: <a href="mailto:head-pdcsz@iibf.org.in">head-pdcsz@iibf.org.in</a>	Ms. Priya Maria A Senior Executive, PDC-South Zone Phone: +91- 44 -24722990 Mobile: +91 9566274128 Email: <a href="mailto:se.pdcsz1@iibf.org.in">se.pdcsz1@iibf.org.in</a>
---	--