



# **INDIAN INSTITUTE OF BANKING & FINANCE**

(An ISO 9001:2015 Certified)

## **PROGRAMME For INTERNAL AUDITORS**



*From 5<sup>th</sup> to 6<sup>th</sup> April 2023*

**In VIRTUAL (Online) MODE**

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## **PROGRAMME FOR INTERNAL AUDITORS**

### **BACKGROUND**

During its 94 years of service, IIBF has emerged as a premier institute in banking and finance education. Considering the emerging requirements of the bankers in the ever-changing dynamic environment, IIBF has been providing training to bankers in select areas. The Institute has the state-of-the-art training facilities at its Leadership center at Mumbai. It also has three Professional Development Centres (PDCs) at Delhi, Chennai & Kolkata with residential facilities at Delhi to accommodate more than 50 participants at a time.

### **PURPOSE**

Internal Auditors play a vital role in the sound management of the Banks supporting the compliance, systems and procedures, apart from ensuring the safety and security.

The proposed training programme for the Audit Officers in Commercial Banks/FIs envisages competence building among the participants by improving their skills and knowledge level. The program takes the participants to different aspects of their functioning including the risk-based audit, risk rating documents verifications and revenue leakage. The programme will be facilitated by senior bankers and experienced faculties through interactive sessions, sharing of experiences and discussions on live cases.

This program has been designed taking into account the Audit Officers need to update themselves through discussions and interactions with the learned Faculties and peers.

### **OBJECTIVES**

- To Improve the knowledge and skill of the Audit Officers
- To have comprehensive understanding of the audit system, procedures and practices to protect the interest of the bank.
- To support in developing a cadre of professional internal auditor, they being the real watch dogs.

### **CONTENT OVERVIEW**

- Relevance of Audit – Audit History Traditional vs Risk based audit - Audit policy.
- Risk Based Internal Audit and Risk based supervisions - with features & application
- Auditing the branches under the CBS environment. Auditing different department.
- Practical insights into different issues – capturing the signals, supporting the monitoring and risk management.
- Auditing fund based & non-fund based credit facilities and forex business
- Role of Auditor in KYC/AML compliance .

- Audit as a tool for prevention of frauds.
- IT Governance and GRC- Audit automation.

## **METHODOLOGY**

Lectures, Case Studies, Discussions & interactions and sharing of experience on Virtual Platform (ZOOM/Webex)

## **TARGET GROUP**

Officers/ Managers/Senior managers/Chief Managers working in the Internal Audit Department at Central, Circle/Zonal/Regional level audit offices

## **DURATION**

From 5<sup>th</sup> to 6<sup>th</sup> April, 2023 (2 Days). The Programme commences at 10.00 AM on 5<sup>th</sup> April 2023.

## **TRAINING FEE:**

Rs.4, 000 per participant plus GST @18%, totalling Rs.4,720/(In case of TDS deduction, please send us TDS certificate). Programme fees may be remitted to the credit of Institute's account as given below:

- ✓ Name of the Bank branch: State Bank of India, Vidya Vihar (West), Mumbai.
- ✓ Savings Account No: 36919200263 IFSC code: SBIN0011710
- ✓ (PAN No: AAATT3309D and GSTIN NO. 27AAATT3309D1ZS  
**(Please provide your GST Number in the nomination letter.)**)

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**NOMINATION FORM for BANKS/FIs**

**Programme title: "Program for INTERNAL AUDITORS**

**Date: From: 5<sup>th</sup> to 6<sup>th</sup> April, 2023**

**Programme Type: Virtual mode**

**PARTICIPANTS NOMINATED:**

Sl.No	Name (Mr./Ms./Mrs.)	Designation	Branch/Office	Mobile No. and Land Line No.	E-mail (PERSONAL AND OFFICIAL MAIL ID BOTH)*
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					

**\*LOGIN DETAILS OF THE PROGRAM SHALL BE SHARED ON PERSONAL MAIL ID.**

Bank/Organisation: \_\_\_\_\_

Address/GSTIN for raising invoice: \_\_\_\_\_

Pin Code: \_\_\_\_\_

Nominating official contact details/mail id \_\_\_\_\_

**Fee:** Rs. 4,000/-per participant plus GST@18% i.e. Rs.720/- aggregating to **Rs.4,720/-**

(In case of TDS deduction, please send us TDS certificate)

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- ✓ Name of the Account: Indian Institute of Banking and Finance
- ✓ Name of the Bank branch: State Bank of India, Vidya Vihar (West), Mumbai.
- ✓ Savings Account No: 36919200263 IFSC code: SBIN0011710
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**(Kindly provide your GST Number in the nomination letter to facilitate raising of invoice)**

**Please send your nominations at the earliest to:**

**Email: prabhakara@iibf.org.in;  
dir.trg@iibf.org.in**