



## INDIAN INSTITUTE OF BANKING & FINANCE

(ISO 21001:2018 Certified)

### **“Know Your Customer (KYC), Anti Money Laundering (AML) & Combating Financing of Terrorism (CFT)” In VIRTUAL (Online) MODE**

From 10<sup>th</sup> to 12<sup>th</sup> July 2024



**“Individual participant can also register for the programme at their own cost”**

*Programme Co-ordinator: Dr. N K Bhasin  
PDC NZ, IIBF*

*Mail ID: je.pdcnz2@iibf.org.in, je.pdcnz1@iibf.org.in*

*Mob- 8295127117 , 9910110182, 7488152733*

**Indian Institute of Banking & Finance,  
Professional Development Centre, Northern Zone,**

**NEW DELHI-110 016**

**Website: [www.iibf.org.in](http://www.iibf.org.in)**



## **“Know Your Customer (KYC), Anti Money Laundering (AML) & Combating Financing of Terrorism (CFT)”**

### **BACKGROUND**

During its 95 years of service, IIBF has emerged as a premier institute in the banking industry. Considering the emerging requirements of the bankers in the dynamic environment, IIBF has been offering certification courses and training programmes to the banking professionals.

The training programs are designed in consultation with the industry experts and human resources personnel with an endeavour to address the skill gaps on a continuous basis. The regular offerings in varied areas prepare the banking professionals ahead of the impending change.

IIBF offers virtual training programmes for its Blended Certification courses and many other programmes to facilitate learning across the country.

IIBF also has state-of-the-art training facilities at its Leadership Centre at Mumbai. The four Professional Development Centres (PDCs) at Delhi, Chennai, Kolkata & Mumbai cater to the increasing demand for the focused training programmes.

### **PURPOSE**

The purpose of implementing anti-money laundering and counter-terrorist financing (AML/CFT) measures is to protect the financial system from abuse.

The effective implementation of these measures can help countries to trace and stop the financial flows linked to serious crimes and terrorism. An effective anti-money laundering and counter financing of terrorism framework must address both the risk issues, it must detect and prevent illegal funds entering the financial system; and also halt the funding activities of terrorist individuals or organizations.

The programme aims at imparting knowledge on the areas concerning AML/CFT framework, global standards set to combat the issues, role of National Agency (FIU-IND), Regulatory framework (RBI).

The coverage includes operational aspects like understanding of risk based approach while on-boarding the customers, identification and reporting of AML/CFT red flags both in financial system and through movement of goods in Trade transactions (TBML).

## **OBJECTIVES**

- To enhance knowledge of KYC and AML and their importance in day-to-day Banking transactions
- To know about the RBI framework and KYC policies
- To Know KYC requirements of various accounts and their risk profiles
- To learn more about the latest developments in KYC/AML front and good international practices adopted by global Banks

## **CONTENT OVERVIEW**

- Overview of KYC, AML & CFT - Global & Indian Perspective
- Money Laundering - Origin and Preventive Legislations
- KYC framework of RBI and operating guidelines
- KYC for individual accounts/Prop. Firm/Company/Trust/PEP etc.,
- KYC for Non-face to face customer and meaning of beneficial owner
- Customer Due Diligence - SDD, CDD, EDD, E-KYC, C-KYC, Digital KYC etc.
- Risk Classification and Review of Accounts
- Transaction Monitoring Process - Attending & Closing AML Alerts, Filing of CTR/STR/CCR, Record Maintenance and Reporting requirements
- Financial Intelligence Unit (FIU)-India/FATF
- Trade-Based Money Laundering - Preventive Measures
- AML/KYC in Correspondent Banking/Exchange Companies and the meaning of virtual assets and international practices

## **METHODOLOGY**

Virtual (Online) interactive lectures, Case Studies, presentations and sharing of experiences on the Institute's licensed ZOOM Platform.

Live sessions by Faculty shall be conducted and participants can participate in the sessions from home or office using Laptop/Desktop.

Login details for accessing the sessions shall be shared on registered mail ids of participants 24/48 hours before the program.

## **DURATION**

**3 Days - From 10<sup>th</sup> to 12<sup>th</sup> July 2024. Timings 10:00 a.m. to 5.30 p.m.**

## **FEES**

**Rs. 6,000/- (Rs. Six thousand only) per participant plus GST @18% (Rs.1080/-) aggregating to Rs. 7,080/- (In case of TDS deduction, please send us TDS certificate).**

Programme fees may be remitted to the credit of Institute's account as given below:

- Name of the Bank branch: State Bank of India, Vidya Vihar (West), Mumbai
- Account no: 37067835430 IFSC code: SBIN0011710
- (PAN No: AAATT3309D and GST No. 07AAATT3309D2ZT)

**(Kindly provide your GST Number in the nomination letter to facilitate raising of invoice)**

***Pl Contact: Mr. Aman Dhar, Ms. Anchal Arora Mobile – 8295127117, 7488152733, 011-26532194/91***

***Email: je.pdcnz1@iibf.org.in, je.pdcnz2@iibf.org.in***



**INDIAN INSTITUTE OF BANKING & FINANCE**

(ISO 21001:2018 Certified)

**NOMINATION FORM FOR ORGANIZATION WHO NOMINATES THE PARTICIPANTS**

**Program title: “Know Your Customer (KYC), Anti Money Laundering (AML) & Combating Financing of Terrorism (CFT)”**

**Date:10<sup>th</sup> to 12<sup>th</sup> July 2024 (Wednesday- Friday) Programme Type: Virtual (Online) mode**

**Details of nominee(s):**

Sl. No	Name	Designation	Branch/Office	Contact No.	E-mail (PERSONAL MAIL ID )*	E-mail (OFFICIAL MAIL ID )*
1						
2						
3						

**\*LOGIN DETAILS OF THE PROGRAM SHALL BE SHARED ON PERSONAL MAIL ID OF NOMINEE.**

Name of Bank/ FI: \_\_\_\_\_

Address: \_\_\_\_\_

GST Details of Nominating Bank: \_\_\_\_\_

**UTR Number and date of Fees remitted.** -----

Phone of Nominating Official: -----E-Mail of Nominating Official: \_\_\_\_\_

**Fees: Rs. 6,000/-per participant plus GST @18% aggregating to Rs.7080/- per participant (Rs Seven Thousands Eighty only)** (In case of TDS deduction, please send us TDS certificate).

**Pl Contact: Mr. Aman Dhar, Ms. Anchal Arora Mobile – 8295127117, 011-26532194/91  
Email: je.pdcnz1@iibf.org.in, je.pdcnz2@iibf.org.in**



## INDIAN INSTITUTE OF BANKING & FINANCE

(ISO 21001:2018 Certified)

### NOMINATION FORM FOR SELF-SPONSORED CANDIDATES

**Program title: “Know Your Customer (KYC), Anti Money Laundering (AML) & Combating Financing of Terrorism (CFT)”**

**Date: 10<sup>th</sup> to 12<sup>th</sup> July 2024 (Wednesday- Friday) Programme Type: Virtual (Online) mode**

**Details of nomination:**

Sl. No	Name	Designation	Contact No. (Mobile)	Place of Posting	E-mail (PERSONAL)	UTR Number with Date of Payment
1						

Name of Bank/ FI employed with:

---

Address of Bank/FI employed with:

---

---

**Fees: Rs.6,000/-per participant plus GST @18% aggregating to Rs.7080/- per participant (Rs Seven Thousands Eighty only)** (In case of TDS deduction, please send us TDS certificate).

Programme fees may be remitted to the credit of Institute’s account as given below:

- Name of the Bank branch: State Bank of India, Vidya Vihar(West), Mumbai.
- Account no: 37067835430 IFSC code: SBIN0011710
- (PAN No: AAATT3309D and GST No. 07AAATT3309D2ZT)

***Pl Contact: Mr. Aman Dhar, Ms. Anchal Arora Mobile – 8295127117, 011-26532194/91  
Email: je.pdcnz1@iibf.org.in, je.pdcnz2@iibf.org.in***