

		Indian Institute of Banking & Finance, Mumbai			
		List of pre-bid queries received towards IS Audit RFP and responses as per Pre-bid meeting held on 25th Feb-2020			
Note:					
		Addendum to RFP			
		The bidders are requested to offer a separate quote for preparing a comprehensive IT policy based on the new IT setup of the Institute.			
Sr No	RFP Pg No	RFP Clause No	Existing Clause	Query	IIBF Response
1	13	15.Earnest Money Deposit (EMD):	EMD Rs.35,000/- (Rupees thirty five thousand only) in the form of a Bank Guarantee from any commercial bank	As per MSME clause, an organisation is exempted from paying EMD cost. Hence, we request you to exempt us from paying EMD cost. Please refer MSME clause and MSME Certificate attached(We have already got exemption with the same clause from other PSU's)	MSME certificate may be attached.
Sl No	Page No	Clause Description	Remarks	IIBF Response to Query	
1	Page Nos - 5	7. Broad Scope of the Work: (A) Physical Security Audit: The audit should cover the physical access mechanisms of the systems, servers, software and other tools.	Number of offices and their location required		
2	Page Nos - 5	7. Broad Scope of the Work: I. Hardware Audit:	What is expected from the audit firm	Standard IS Audit related to ports, disk bays, disabling of certain drives etc.,	

3	Page Nos - 6	2.Packaged software used by the Institute:	What is expected from the audit firm	Standard IS Audit such as installation policy,password policies documents, data backup of these tools periodically, secure configuration of e-mail tools, browsers, Utility software, freeware and sharewares etc.,	
		(a) MS-Office,			
		(b)E-Mail Tools			
		(c)Browsers (d) All utility Software			
		(e)Freeware and Sharewares used by IIBF			
	Page 7	Pre-bid meeting for clarifications on written Queries on 17th February – 2020	Time is not mentioned to attend the prebid meeting	11.30AM	
4	Page 29	The bidder should have registered a turnover of Rs.25 lakhs or above during each year for the last three completed financial years.	Requested to kindly change the turnover criteria - The turnover of the company for each of the last 3 years in IS Audit / Information Security should be atleast Rs. 10 Cores	No Change	
			Following are the Eligibility Criteria could be included		
			1) The Bidder should be CERT-In empanelled continuously for last Five year		
			2) The Bidder should have been in Information Security / audit business for at least the past 10 years		
			3) Information Security / audit should be the core business of the company		

5	Page 32	Minimum Eligibility Criteria	4) The bidder should not be a vendor of any hardware / software / maintenance contractor / financial auditor	1. Bidders should be currently empaneled by CERT-In.	
			5) The Project Manager should be CISA and LPT Certified and having atleast 10 years of experience in the field of IS Audit / Information Security.	5. Should be in audit business in last 5 years.	
			6) The bidder should have executed atleast 2 assignments consultancy of ISO 27001:2013 for Central Government / State Government / PSU / PSB in India.	6. The bidder should have executed at least 2 similar assignments for central/state govts. or banks	
		15. Earnest Money Deposit (EMD):			

	6 Page 13	<p>A bidder who is interested to respond to the RFP, should deposit an earnest money of Rs.35,000/- (Rupees thirty five thousand only) in the form of a Bank Guarantee from any commercial bank, which is valid for six months favoring to IIBF and payable at Mumbai. The bidder may use any format of bank guarantee from any commercial bank.</p>	<p>As per MSME Act, an MSE is exempt from paying Tender Fee / EMD. Request you to consider the same and waive tender fee / EMD for MSE</p>	<p>SME certificate may be attached</p>	
		Annexure-I	<p>1. No. of Servers (including type of operating system and Database)</p>		
		Detailed Scope of the Work	<p>2. No. of Desktop/Laptop and their location</p>		
			<p>3. Total Number of Network and security devices</p>		
			<p>4. No of external IP addresses</p>		

		Pg. No	Clause	Clarification needed	IIBF Response
			10.Minimum Eligibility Criteria:		
		7	The bidder should provide the proof of accreditation to Quality Management Systems like ISO 9001:2008 or 2015/ SEI CMMI Level 5 / Six Sigma practice(Minimum one certificate is preferable)	Is this a mandatory clause? Can a waiver be obtained on this clause?	Yes
			7. Broad Scope of the Work:		
		7	I. Hardware Audit: The audit should cover all the hardware items of the Institute including, (a)PCs, (b)Servers (c)Printers (d)Scanners and (e)all peripherals.	What is the audit scope for these hardware equipments?	Standard IS Audit related to ports, disk bays, disabling of certain drives etc.,
			III. Network Audit:		
		8	The audit should cover all the network equipment including (i)Routers (ii)Switches (iii)Firewalls (iv)LAN/WAN (v)Internet gateways (vi)I/O outlets, jack-panels, patch panels etc.,	What is the audit expectation for (vi)I/O outlets, jack-panels, patch panels	(vi)I/O outlets, jack-panels, patch panels not required to be audited
			VI. Security Audit of Network Management:		
		19	Net scanning-vulnerability assessment	1. How many network devices are in the scope of the vulnerability assessment and penetration testing activity?	16
			Penetration testing		
		19	Evaluation of Firewall policy and its implementation.	2. How many firewalls for rule base review?	6
		19	Network stress / Load test	1. How many locations are in the scope of this network load testing?	5

		1) Number of servers			13
		2) Number of Routers			6
		3) Number of switches			14
		4) Number of firewalls, ID's, IP's			Firewalls and routers are same as they are SDWAN based
		5) Number of applications (Internal & external application)			25
		6) Number of any other external facing assets.			3
		Page No.	Section / Clause name	Question	
		5	I. Hardware Audit	Does that mean security audit (VAPT) of devices, servers etc.,	Yes
		5	II. Software Audit	Would you like us to perform VAPT for these modules, server software and packaged software's or audit based on best practices?	Yes
		6	III. Network Audit	Total number of IPs (switches, firewalls, routers etc.,) in scope for network security audit	16
				Mode of engagement: (On-site or remote)	Onsite
				How many iterations of tests we need to perform per assessment?	2
		17	DC and DR Audit	No. of locations in scope:	1 location at Mumbai 3 outside delhi, chennai, kokata by remote
				No. of critical applications in scope:	1
				Whether DC/DR managed by the institute or outsourced?	Outsourced
				No. of outsourced vendors in scope:	1
		17	I. Information Security Architecture	Please mention the scope for Business continuity plan	IT DR

			II. Data Centre/Server Room Audit	Is biometric security assessment included in the scope?	No
		19	VII. Disaster Recovery Site	Is cloud assessment in the scope? If yes which cloud is deployed (AWS, Azure, Google cloud etc.)	Private Cloud by M/s ESDS
			Others	Is source code review also a part of scope? If yes, please mention the no. of applications and their respective no. of lines of code.	Yes
			Others	Please let us know	
				no. of modules:	25
				no. of applications and no. of softwares	25
				no. of mobile applications for VAPT test	as per RFP Not included
			Others	Please mention the below details for each module, application and software	
				No. of Roles	3 member, non-meber, admin
				No. of Static and Dynamic Pages:	850+
				No. of input fields:	600
				No. of web services:	3
				Provision for payment gateway or CMS	Yes-1 payment gateway
				Does the entire engagement need to be performed remote or on-site?	Onsite

			Others	If on-site, please mention the location and no. of iterations	1 location at Mumbai 3 outside delhi, chennai, kokata by remote. 2 iterations within 6 months. Cost of 1st iteration to be included in the first time audit cost. For 2nd iteration to be done 6 months to be quoted additional
Addendum to RFP					
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