

Indian Institute of Banking & Finance, Mumbai

IS Audit pre-bid Queries and Responses-Meeting held at 11AM on 18th Sept-2020 over zoom

No	Page No	RFP clause	Query	IIBF Response
1	16	EMD – 35000/-	As per MSME clause, an organisation (comes under MSME) is exempted from paying Bid & EMD cost.Please refer MSME clause and MSME Certificate attached(We have already got exemption with the same clause from other PSU’s) Hence, we request you to exempt us from paying Bid & EMD cost.	MSME Certificate may be enclosed
Pg. No	Point / Section	Category (Scope/Commercial/Le gal/General)	Clarification Sought	IIBF Response
7	I. Hardware Audit:	The audit should cover all the hardware items of the Institute including, (a)PCs, (b)Servers (c)Printers (d)Scanners and (e)all peripherals.	What is the scope for hardware devices such as printers, scanners, peripherals, PCs? Is Vulnerbaility Assessment in the scope for these devices?	Standard IS Audit related to ports, disk bays, disabling of certain drives etc.,

8	V. Compliance Audit:	After completion of initial audit the bidders are requested to carry out the compliance audit for the vulnerabilities pointed out in the audit to complete the audit process	In the Annexure – II Commercial Template for conducting IS Audit in the Institute, the cost is for a "One time charges for conducting IS Audit of the Institute".Do we assume that the compliance audit is also to be added to one time charge?	Yes
10	10.Minimum Eligibility Criteria:	The bidder should provide the proof of accreditation to Quality Management Systems like ISO 9001:2008 or 2015/ SEI CMMI Level 5 / Six Sigma practice (Minimum one certificate is preferable)	1. We are in the process of certifying for ISO9001 and ISO27001 standards. However, this will take at least another 1 month. Please let us know whether this will be considered as eligibility?	On going certification process may be submitted by the ISO auditor. And after completion copy of certificate to be submitted

16	15.Earnest Money Deposit (EMD):	A bidder who is interested to respond to the RFP, should deposit an earnest money of Rs.35,000/- (Rupees thirty five thousand only) in the form of a Bank Guarantee from any commercial bank, which is valid for six months favouring to IIBF and payable at Mumbai. The bidder may use any format of bank guarantee from any commercial bank.	1. We are a MSME organisation. Can the EMD be waived off for our organisation? We can submit the MSME certificate along with the other tender documents	MSME Certificate may be enclosed
21	III. Application Software Audit:		How many applications are in the scope of this assessment? Is vulnerability assessment also in the scope?	25(Extenal and Internal applications), Yes
22	IV. Database Audit:		How many databases are in the scope of this assessment? Is vulnerability assessment also in the scope?	3, Yes
22	V. Website Audit:		How many websites are in the scope of this assessment? Is vulnerability assessment also in the scope?	1, Yes

23	VI. Security Audit of Network Management:		How many network devices are in the scope of this assessment? Is vulnerability assessment also in the scope?	16, Yes
S. No.	RFP Clause & Page No.	RFP Description	Clarification Sought	IIBF Response
1	Point ii of IV security. Audit page No.80	The security audit of conduct of computer based online examinations through service providers	Kindly confirm the whether it is a Web based application Or Desktop.(Thick client application) Also, kindly enquire details as per the enclosed Annexure A.	Web based application. However, exams are conducted in a de-centralised model. Questions get downloaded over web and answers are uploaded over web.
2	Point b. Of 10. Minimum Eligibility Criteria Table Page 9	The bidder should have registered a turnover of Rs.25 lakhs or above during each year for the last three completed financial years.	Kindly allow us to submit the Provisional Balance sheet of the financial year 2019-20.	Yes
3	Point b. Of 10. Minimum Eligibility Criteria Table Page 9	The bidder should be earning a Net Profit or having +ve Net Worth in each of the last three completed financial years.	Kindly allow us to submit the Provisional Balance sheet of the financial year 2019-20.	Yes
4	Annexure-I Page No. 21 - 24	Detailed Scope of the Work	Kindly provide the number of devices of Network infrastructure under the scope of audit	No of routers =6, No of Switches=14

5	III. & V	III. Application Software Audit	Kindly provide the details of all applications which are to be audited as per the enclosed scoping sheet.	Refer RFP
	Page No. 21 & 22	V. Website Audit	This is prerequisite for us to estimate our efforts.	Refer RFP
6	Annexure-I Page No. 21 - 24	Detailed Scope of the Work	Kindly confirm whether the rescan is also a part of audit scope.	Part of Audit
Annexure A				
Web Application/Website				
S. No.	Parameters	Description	IIBF Response	
1	Web Application Name & URL		www.iibf.org.in	
2	Operating System Details (E.g. Windows-2003, Linux, AIX, Solaris, etc.)		Linux, Windows Servers-2016	
3	Application Server with Version (E.g. IIS 5.0.Apache, Tomcat, etc.)		Apache, Tomcat	
4	Front-end Tool [Server side Scripts] (E.g. ASP, Asp.NET, JSP, PHP, etc.)		ASP .Net	
5	Back-end Database (E.g. MS-SQL Server, PostgreSQL, Oracle, etc.)		PostGresSQL	

6	Authorization No. of roles & types of privileges for the different roles		3 member, non-meber, admin
7	Whether the application contains any content management System (CMS) (If yes then which? (E.g. Joomla/WordPress/Drupal/Liferay etc.)		Indigeneous CMS of M/s ESDS
8	Total No. (Approximate) of Input Forms		25 modules
9	Total No. of input fields		600
10	No. of login modules		3
Annexure B			
IT Security Au dit			
S. No.	Parameters	Description	
1	Total No. of Nodes		130
2	No. of Servers with details (Windows 2008, Linux, Sun Solaris etc)		13
3	No. of Desktops/Laptops		130(as given above)
4	No. of Routers		6
5	No. of Switches (L3, L2 with details)		14
6	No. and make of firewalls/ UTM devices		

7	No. of IDS/IPS		(Part of routers- SDWAN)	
8	No. of Wireless Access points			6
9	Is VLAN configured?		Yes	
10	For External Penetration Testing: No. of Public IPs			3
	As per clause e of eligibility criteria, " The bidder should provide the proof of accreditation to Quality Management Systems like ISO 9001:2008 or 2015/ SEI CMMI Level 5 / Six Sigma practice(Minimum one certificate is preferable)"			On going certification process may be submitted by the ISO auditor
	We are ISO27001 certified. Kindly let us know if this is acceptable, in which case we request to kindly modify the tender condition			Yes