



# **INDIAN INSTITUTE OF BANKING & FINANCE**

**(ISO 9001:2015 Certified)**

## **PROGRAMME**

**on**

**KYC, AML and CFT**

**14<sup>th</sup> to 16<sup>th</sup> February, 2019**

### **Venue:**

**Leadership Center,  
Indian Institute of Banking & Finance,  
Kohinoor City, Commercial II, Tower 1, 3<sup>rd</sup> floor,  
Off LBS Marg, Kiroli Road,  
Kurla (W), Mumbai – 400070.  
Website: [www.iibf.org.in](http://www.iibf.org.in)**



## PROGRAMME on KYC, AML and CFT

### BACKGROUND

During the course of 91 years, IIBF has emerged as a premier Institute in banking and finance education. Considering the emerging requirements of the Banks / Financial Institutions in the ever changing dynamic environment, IIBF is providing training programmes in selected areas. IIBF has a world class training facility at its Leadership centre, at Mumbai.

### PURPOSE

The regulators are concerned about the awareness level and implementation of “**Know your customer (KYC), Anti Money Laundering (AML) and Combating Financing Terrorists (CFT)**” guidelines in Banks and Financial Institutions. This has been a critical topic amongst various financial institutions, regulatory bodies and public authority due to its impact on the stability of the economy and security of the country.

In view of growing incidents of frauds in various Banks/ FIs, it is felt necessary to enhance awareness about KYC / AML guidelines and its implications amongst staff members at operating level. This will ensure that clients brought in, are identified properly. On analysing the probable reasons of occurrence of frauds, the regulators have commented that that non-adherence to KYC guidelines has facilitated the culprits in perpetrating frauds.

This training programme envisages creation of awareness and sensitization of the participants in the intricacies of KYC and AML procedures. It intends and enables them to be competent to address the real issues and challenges in this area.

### OBJECTIVES

- To improve the knowledge and awareness about KYC /AML /CFT norms.
- To develop a compliance culture among participants in respect of KYC / AML /CFT rules and regulations.

### CONTENT OVERVIEW

- Legal framework and Organisational Infrastructure for KYC / AML Regulations
- Operational issues related to KYC and E-KYC
- Overview of Money laundering and Financial crimes
- Transaction Monitoring
- IT and system requirements related to KYC and AML
- Submission of various Reports and Returns
- Challenges in compliances

## **TRAINING METHODOLOGY**

The programme will be highly interactive based on lecture by in house training faculty and guest speakers from regulatory body, industry, case studies, group discussion, experience sharing etc.

## **TARGET GROUP**

Officers in Scale I to IV of commercial banks, RRBs, Urban co-operative banks, Housing Finance Companies, working at Branches, administrative offices, KYC Department at Head Offices and Bank Trainers.

## **DURATION**

**From: 14<sup>th</sup> to 16<sup>th</sup> February 2019 (3 days), 9.45 to 17.00 Hrs**

## **VENUE**

Leadership Center,  
Indian Institute of Banking & Finance,  
Kohinoor City, Commercial II, Tower 1, 3rd floor,  
Off LBS Marg, Kiroil Road, Kurla (W),  
Mumbai – 400 070.

## **FEES**

Rs. 10,000 per participant plus GST @18% , totalling Rs. 11,800 (In case of TDS deduction, please send us TDS certificate).

Programme fees may be remitted to the credit of Institute's account as given below:

- ✓ Name of the Bank branch: State Bank of India, Vidya Vihar (West), Mumbai.
- ✓ Savings Account No: 36919200263 IFSC code: SBIN0011710
- ✓ (PAN No: AAATT3309D and GSTIN NO. 27AAATT3309D1ZS

**(Kindly provide your GST Number in the nomination letter to facilitate rising of invoice)**

## **The programme is Non residential.**

In case any participant requires Hotel accommodation, the Institute may organize for it at Hotel Kohinoor Elite (which is situated adjacent to our office; contact no 022 61526152, 8879791094). The tariff is Rs. 6000 per day plus taxes for double occupancy and Rs. 5,000 plus taxes for single occupancy. The rates are offered to the trainees of IIBF and include complimentary breakfast, lunch, evening tea and dinner. Four-hour internet and laundry facility for four pieces of clothes are also complimentary.

For further details and nomination, kindly contact:

|   |   |
|---|---|
| Dr. T.C.G.Namboodiri,<br>Director (Training),<br>Phones: 022 - 2504 7004<br>Email: drnamboodiri@iibf.org.in<br>Mobile: 9920378486 | Mr. Mr. H.S Sharma,<br>Faculty Member &<br>Programme Co-Co-ordinator<br>Phone: 022 25047014,<br>Email: hssharma@iibf.org.in<br>Mob. 75066 40131 |
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**NOMINATION FORM**

Programme title: PROGRAMME on KYC /AML and CFT

Date: 14<sup>th</sup> to 16<sup>th</sup> February 2019 (3 days), 9.45 to 17.00 Hrs

Programme Type: Non-Residential

Details of nomination:

| Sl. No | Name | Designation | Branch/Office | Contact No. | E-mail |
|--------|------|-------------|---------------|-------------|--------|
| 1      |      |             |               |             |        |
| 2      |      |             |               |             |        |
| 3      |      |             |               |             |        |
|        |      |             |               |             |        |

Name of Bank/ FI : \_\_\_\_\_

Address: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_ E-mail: \_\_\_\_\_

Fees: Rs.10,000 per participant plus GST @18% aggregating to Rs.11,800 (In case of TDS deduction, please send us TDS certificate).

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| Dr. T.C.G.Namboodiri,<br>Director (Training),<br>Phones: 022 - 2504 7004<br>Email: drnamboodiri@iibf.org.in<br>Mobile: 9920378486 | Mr. Mr. H.S Sharma,<br>Faculty Member &<br>Programme Co-Co-ordinator<br>Phone: 022 25047014,<br>Email: hssharma@iibf.org.in<br>Mob. 75066 40131 |
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